

12/29/01
JC839 U.S. PTO

01-02-02

A

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

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PRO
10/03/2001
16330
12/29/01

Date: December 27, 2001
Attorney Docket No.: 450100-4414

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office
of an application for patent in the name(s) of:

Motoki KATO

entitled:

SIGNAL CODING METHOD, SIGNAL CODING APPARATUS, SIGNAL RECORDING MEDIUM, AND
SIGNAL TRANSMISSION METHOD

☒ Continuing Application

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)
of prior application serial no. 09/061,581, filed April 16, 1998.

[Note: If priority under 35 U.S.C. 120 involves a series of respectively copending
applications, then in this amendment identify each and its relationship to its immediate
predecessor.]

☒ The prior application is assigned of record to SONY CORPORATION.

The following are enclosed:

☒ Specification (34 pages) (including original claims and abstract)

☒ 10 Sheet(s) of Drawings

☒ 12 Claim(s) (including 4 independent claims) (as presented in
the accompanying Preliminary Amendment).

☐ This application contains a multiple dependent claim

☒ Our check for \$ 824.00, calculated on the basis of the claims
existing in the prior application (less any claims canceled herein) as
amended by any enclosed preliminary amendment as follows:

Basic Fee, \$740.00 (\$370.00)	\$ 740.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each:	-0-
Number of Independent Claims in excess of 3 at \$84.00 (\$42.00) each: 1	84.00
Multiple Dependent Claim Fee at \$270.00 (\$140.00)	-0-
Total Filing Fee	\$ 824.00

☐ Assignment Recording Fee \$40.00 -0-

☐ This application is being filed within the month following the
expiration of the term originally set therefor in the prior application.
This is a petition to request a -month extension of time. A check
covering the cost of the petition is enclosed.

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450100-4414.1

X Oath or Declaration and Power of Attorney

 New signed unsigned

X Copy from a prior application (37 C.F.R. 1.63(d))

Deletion of Inventors

 Signed Statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d) (2) and 1.33(b))

X Incorporation by Reference (for continuation or divisional application)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

X A Preliminary Amendment is enclosed.
(Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

 Cancel in this application original claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

X New formal drawings are enclosed.

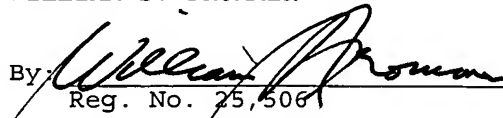
X Certified copy of each foreign priority application on which the claim for priority under 35 U.S.C. 119 is based was filed in prior U.S. application serial no. 09/061,581, filed April 16, 1998. A list of said foreign priority application(s) is provided below. Acknowledgement thereof is requested.

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
9-113141	30 April 1997	Japan

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicant
WILLIAM S. FROMMER

By: 
Reg. No. 25,506

"Express Mail" mailing label number EL819160791

Date of Deposit December 27, 2001

I hereby certify that this paper or fee, and a patent application and accompanying papers, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Barnet Shindlman

(Typed or printed name of person mailing paper or fee)

Barnet Shindlman

(Signature of person mailing paper or fee)

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